Listen, Attend and Spell A Neural Network for Large Vocabulary Conversational Speech Recognition

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## Outline

- 1. Introduction and Motivation
- 2. Model: Listen, Attend and Spell
- 3. Experiments and Results
- 4. Conclusion

Introduction and Motivation

### Automatic Speech Recognition

#### Input

Acoustic signal

#### Output

Word transcription

## State-of-the-Art ASR is Complicated

- Signal Processing
- Pronunciation Dictionary
- GMM-HMM
- Context-Dependent Phonemes
- DNN Acoustic Model
- Sequence Training
- Language Model
- Many proxy problems, (mostly) independently optimized
  - Disconnect between proxy problems (i.e., frame accuracy) and ASR performance
  - Sequence Training solves some of the problems

#### HMM Assumptions

- Conditional independence between frames/symbols
- Markovian
- Phonemes

- ► We make untrue assumptions to simply our problem
  - Almost everything fallback to the HMM (and phonemes)

### Goal: Model Characters directly from Acoustics

Input

Acoustic signal (e.g., filterbank spectra)

Output

English characters

Don't make assumptions about the our distributions

#### End-to-End Model

- Signal Processing
- Listen, Attend and Spell (LAS)
- Language Model?

- One model optimized end-to-end
- learn pronunciation, acoustic, dicationary all in one end-to-end model

Model: Listen, Attend and Spell

# Sequence-to-Sequence (and Attention)

Machine Translation:

- Sutskever et al., "Sequence to Sequence Learning with Neural Networks," in NIPS 2014.
- Cho et al., "Learning Phrase Representations using RNN Encoder-Decoder for Statistical Machine Translation," in EMNLP 2014.
- Bahdanau et al., "Neural Machine Translation by Jointly Learning to Align and Translate," in ICLR 2015.

TIMIT:

 Chorowski et al., "Attention-Based Models for Speech Recognition," in NIPS 2015.

Let  $\mathbf{x}$  be our acoustic features, and let  $\mathbf{y}$  be the sequence we are trying to model (i.e., character sequence):

$$\mathbf{h} = \text{Listen}(\mathbf{x}) \tag{1}$$

$$P(y_i | \mathbf{x}, y_{\leq i}) = \text{AttendAndSpell}(y_{\leq i}, \mathbf{h}) \tag{2}$$

#### Implicit Language Model

- HMM/CTC have conditional independence assumption
- seq2seq models have a conditional dependence on the previously emitted symbols:

$$P(y_i | \mathbf{x}, y_{< i}) \tag{3}$$

- Listen(x) can be a RNN (i.e., LSTM).
  - $\blacktriangleright$  Transform our input features  ${\bf x}$  into some higher level feature  ${\bf h}$
- ► AttendAndSpell is an attention-based RNN decoder.

AttendAndSpell is an attention-based RNN decoder:

$$c_i = \operatorname{AttentionContext}(s_i, \mathbf{h})$$
 (4)

$$s_i = \text{RNN}(s_{i-1}, y_{i-1}, c_{i-1})$$
 (5)

$$P(y_i | \mathbf{x}, y_{< i}) = \text{CharacterDistribution}(s_i, c_i)$$
(6)

The AttentionContext creates an alignment and context for each timestep:

$$e_{i,u} = \langle \phi(s_i), \psi(h_u) \rangle \tag{7}$$

$$\alpha_{i,u} = \frac{\exp(e_{i,u})}{\sum_{u'} \exp(e_{i,u'})} \tag{8}$$

$$c_i = \sum_{u} \alpha_{i,u} h_u \tag{9}$$



- Attention mechanism creates a short circuit between each decoder's output and the acoustic
- More efficient information/gradient flow!
- Creates an explicit alignment between each character and the acoustic features
  - CTC's alignment is latent

#### Alignment between the Characters and Audio



Time

- Model works but...
  - Takes "forever" to train, after > 1 month model still not converged : (
  - ▶ WERs in the >20s (CLDNN-HMM is 8ish)
  - Attention mechanism must focus on a long range of frames

# Pyramid



#### Pyramid

- Build higher level features with each layer
- Reduce number of timesteps for attention to attend to
- Computational efficiency
- ▶ 8 filterbank frames  $\rightarrow$  1 pyramid frame feature

$$h_i^j = \text{pBLSTM}(h_{i-1}^j, \left[h_{2i}^{j-1}, h_{2i+1}^{j-1}\right])$$
 (10)

### Pyramid

- 1. Sequence-to-Sequence
- 2. Attention
- 3. Pyramid

- 16 to 20-ish WERs (w/o LM)
- Takes around 2-3 wks to train, overfitting a HUGE problem
- Mismatch between train and inference conditions

# Sampling Trick

Machine Translation, Image Captioning and TIMIT:

 Bengio et al., "Scheduled Sampling for Sequence Prediction with Recurrent Neural Networks," in NIPS 2015.

### Sampling Trick

- Training is conditioned on ground truth
- ▶ We don't have access to ground truth during inference!

$$\max_{\theta} \sum_{i} \log P(y_i | \mathbf{x}, y^*_{>i}; \theta)$$
(11)

# Sampling Trick

- Sample from our model
- Condition on sample for next step prediction

$$\tilde{y}_i \sim \text{CharacterDistribution}(s_i, c_i)$$
 (12)

$$\max_{\theta} \sum_{i} \log P(y_i | \mathbf{x}, \tilde{y}_{>i}; \theta)$$
(13)

$$P(\mathbf{y}|\mathbf{x}) = \prod_{i} P(y_i|\mathbf{x}, y_{< i})$$
(14)

$$\mathbf{h} = \text{Listen}(\mathbf{x})$$
 (15)

$$P(\mathbf{y}|\mathbf{x}) = \text{AttendAndSpell}(\mathbf{h}, \mathbf{y})$$
 (16)

#### Language Model Rescoring

- Leverage on vast quantities of text!
- Normalize our LAS model by number of characters in utterance – LAS has bias for short utterances.

$$s(\mathbf{y}, \mathbf{x}) = \frac{\log P(\mathbf{y}|\mathbf{x})}{|\mathbf{y}|_c} + \lambda \log P_{\text{LM}}(\mathbf{y})$$
(17)

Experiments and Results

#### Dataset

- Google voice search
- 2000 hrs, 3M training utterances
- 16 hrs, 22K test utterances
- Mixed Room Simulator, artificially increase acoustic data by x20 (i.e., YouTube and environmental noise)
- Clean and noisy test set

# Training

- Stochastic Gradient Descent
- DistBelief 32 replicas, minibatch size of 32
- 2-3 weeks of training time

# Results

Model	Clean WER	Noisy WER
CLDNN-HMM (Tara et al., 2015)	8.0	8.9
LAS	16.2	19.0
LAS + LM	12.6	14.7
LAS + Sampling	14.1	16.5
LAS + Sampling + LM	10.3	12.0

# Decoding

We didn't decode with a dictionary!

- LAS implicitly learnt the dictionary during training
- Rare spelling mistakes!
- We didn't decode with a LM! (only rescored)
  - *n*-best list decoding where n = 32
- CLDNN-HMM is convolutional and unidirectional, LAS is not convolutional and bidirectional

# Decoding



# Decoding

- 16% WER without any searching (and LM) just take the greedy path!
- ► LAS does "reasonably" well even with n = 4 in n-best list decoding
- Not much to gain after n > 16
- LM rescoring recovers less than 1/2 of the oracle need to improve LM?

# Results: Triple A

Ν	Text	$\log P$	WER
Truth	call aaa roadside assistance	-	-
1	call aaa roadside assistance	-0.57	0.0
2	call triple a roadside assistance	-1.54	50.0
3	call trip way roadside assistance	-3.50	50.0
4	call xxx roadside assistance	-4.44	25.0

Spelling Variants of "aaa" vs. "triple a"



Time

Conclusion

# Conclusion

- Listen, Attend and Spell (LAS)
  - End-to-end speech recognition model
  - No conditional independence, Markovian assumptions, or proxy problems
  - Sequence-to-Sequence + Attention + Pyramid
  - One model: integrate all traditional components of an ASR system into one model (acoustic, pronunciation, language, etc...)
- Competitive to state-of-the-art CLDNN-HMM system
  - 10.3 vs. 8.0 WER
- Time to throw away HMM and phonemes!
- Independently proposed by Bahandau et al., 2016 on WSJ (next next talk, checkout their paper too!)

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