#### TTIC 31210:

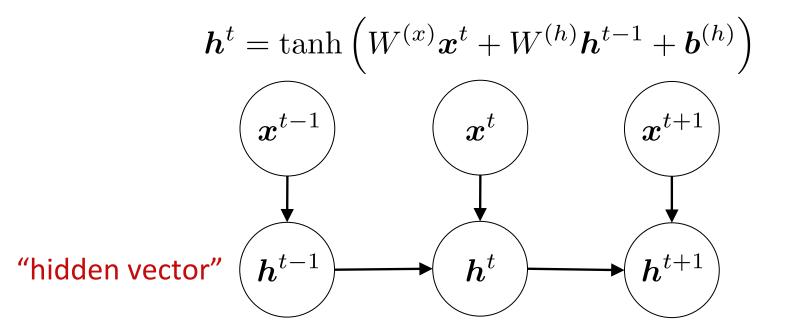
## Advanced Natural Language Processing

Kevin Gimpel Spring 2017

#### Lecture 5:

Sequence-to-Sequence Modeling and Sentence Embeddings

#### **Recurrent Neural Networks**

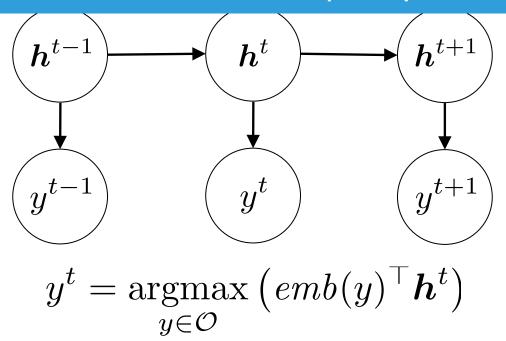


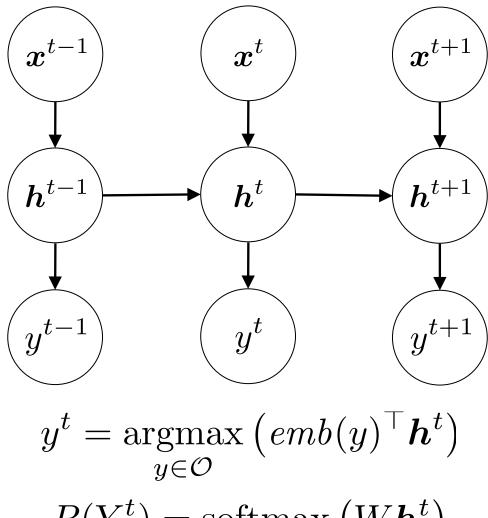
### "Output" Recurrent Neural Networks

$$\boldsymbol{h}^t = \tanh\left(W^{(x)}\boldsymbol{x}^t + W^{(h)}\boldsymbol{h}^{t-1} + \boldsymbol{b}^{(h)}\right)$$
 "hidden vector" 
$$\boldsymbol{h}^{t-1}$$
 
$$\boldsymbol{h}^t$$
 
$$\boldsymbol{h}^{t+1}$$
 "output symbol" 
$$\boldsymbol{y}^{t-1}$$
 
$$\boldsymbol{y}^t = \operatorname*{argmax}_{\boldsymbol{y} \in \mathcal{O}} \left(emb(\boldsymbol{y})^\top \boldsymbol{h}^t\right)$$

S

- y is a symbol, not a vector
- O is the "output" vocabulary
- we have a new parameter vector emb(y) for each output symbol in O
- emb(y) = x?
- probability distribution over output symbols?

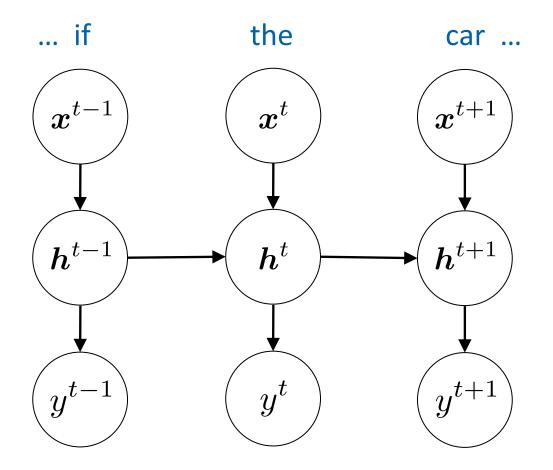




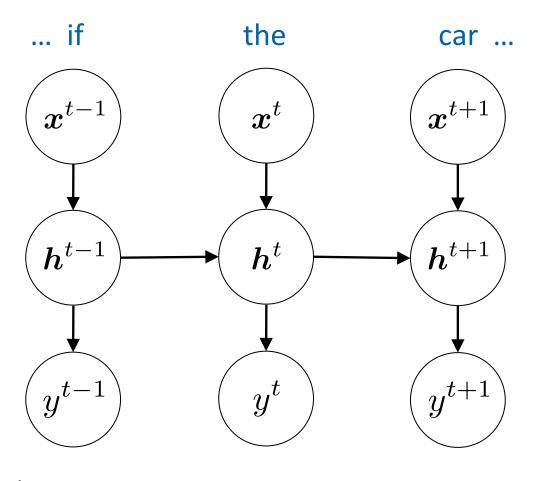
$$P(Y^t) = \operatorname{softmax}(Wh^t)$$

$$W = \left[ emb(y_1)^\top; emb(y_2)^\top; ...; emb(y_{|\mathcal{O}|})^\top \right]$$

## Example: Language Modeling

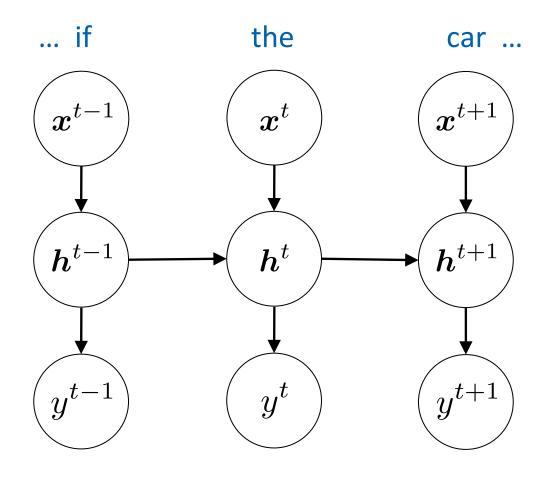


### Language Modeling: Training



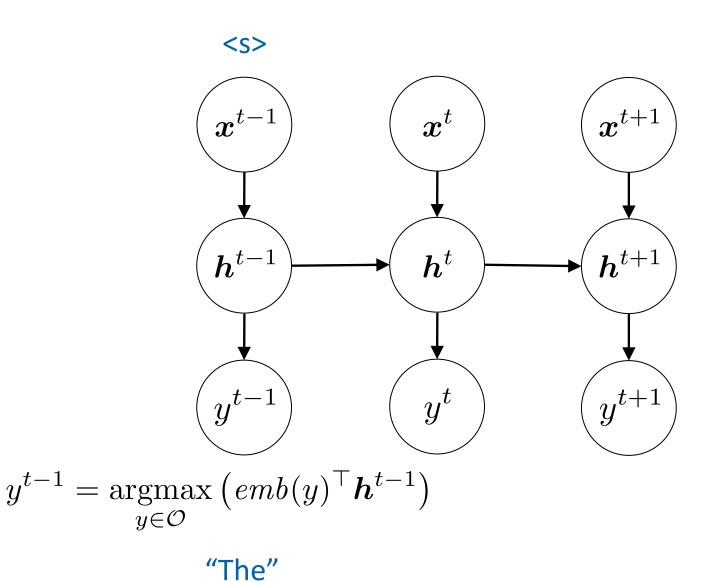
$$-\log P(Y^{t-1} = ?)$$

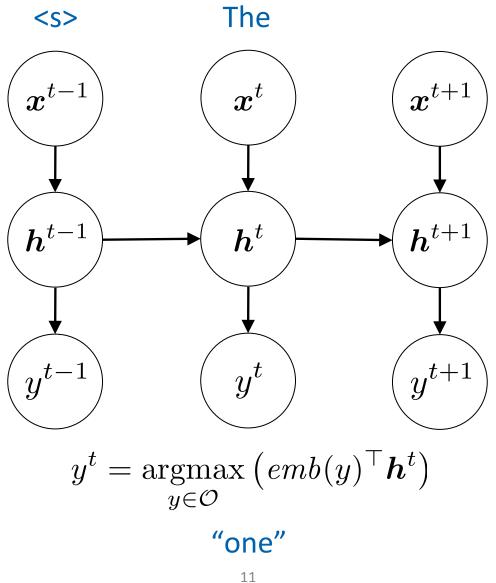
### Language Modeling: Training

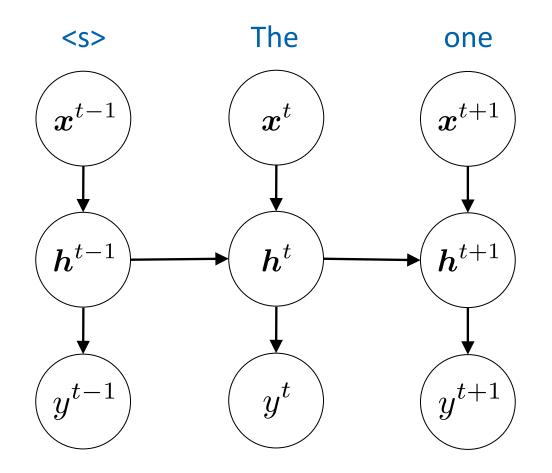


$$-\log P(Y^{t-1} = \text{"the"}) - \log P(Y^t = \text{"car"})$$
 ...

- we'll use the term "decoding" to mean roughly "test-time inference"
- for language modeling, decoding means "output the highest-probability sentence"







### Concern

- there's a mismatch between training and test!
- (what is it?)

## Sequence-to-Sequence Modeling

- data: <input sequence, output sequence> pairs
- use one neural network to encode input sequence as a vector
- use an output RNN to generate the output sequence (conditioned on the input sequence vector)
- more generally called "encoder-decoder" architectures

#### **Recurrent Continuous Translation Models**

**EMNLP 2013** 

#### Nal Kalchbrenner

Phil Blunsom

Department of Computer Science University of Oxford

#### **Abstract**

We introduce a class of probabilistic continuous translation models called Recurrent Continuous Translation Models that are purely based on continuous representations for words, phrases and sentences and do not rely on alignments or phrasal translation units. The models have a generation and a conditioning aspect. The generation of the translation is modelled with a target Recurrent Language Model, whereas the conditioning on the source sentence is modelled with a Convolutional Sentence Model. Through various experiments, we show first that our models obtain a perplexity with respect to gold translations that is > 43% lower than that of stateof-the-art alignment-based translation models.

#### **Recurrent Continuous Translation Models**

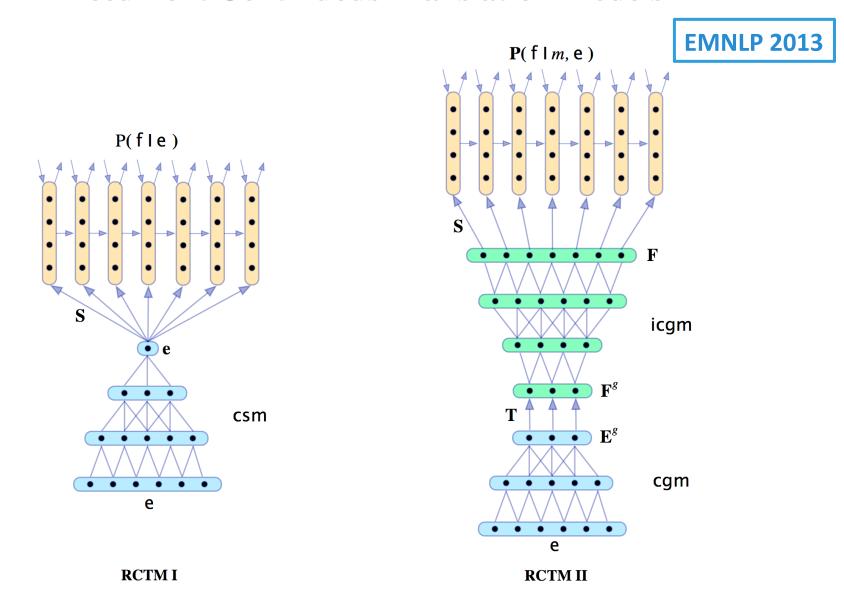


Figure 3: A graphical depiction of the two RCTMs. Arrows represent full matrix transformations while lines are vector transformations corresponding to columns of weight matrices.

#### Learning Phrase Representations using RNN Encoder-Decoder for Statistical Machine Translation

**Kyunghyun Cho** Bart van Merriënboer Caglar Gulcehre

**Dzmitry Bahdanau** 

**EMNLP 2014** 

Jacobs University, Germany

Université de Montréal firstname.lastname@umontreal.ca

d.bahdanau@jacobs-university.de

#### **Fethi Bougares** Holger Schwenk

#### Yoshua Bengio

Université du Maine, France Université de Montréal, CIFAR Senior Fellow

firstname.lastname@lium.univ-lemans.fr

find.me@on.the.web

#### Decoder

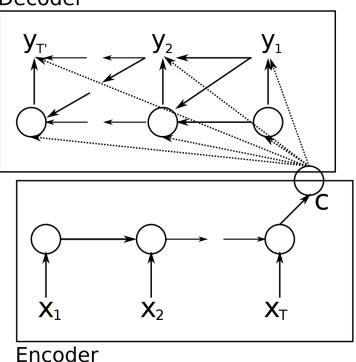


Figure 1: An illustration of the proposed RNN Encoder-Decoder.

#### **NIPS 2014**

# Sequence to Sequence Learning with Neural Networks

Ilya Sutskever
 Google
ilyasu@google.com

Oriol Vinyals
Google
vinyals@google.com

Quoc V. Le
Google
qv1@qoogle.com

#### **Abstract**

Deep Neural Networks (DNNs) are powerful models that have achieved excellent performance on difficult learning tasks. Although DNNs work well whenever large labeled training sets are available, they cannot be used to map sequences to sequences. In this paper, we present a general end-to-end approach to sequence learning that makes minimal assumptions on the sequence structure. Our method uses a multilayered Long Short-Term Memory (LSTM) to map the input sequence to a vector of a fixed dimensionality, and then another deep LSTM to decode the target sequence from the vector. Our main result is that on an English to French translation task from the WMT-14 dataset, the translations produced by the LSTM achieve a BLEU score of 34.8 on the entire test set, where the LSTM's BLEU score was penalized on out-of-vocabulary words. Additionally, the LSTM did not have difficulty on long sentences. For comparison, a phrase-based SMT system achieves a BLEU score of 33.3 on the same dataset. When we used the LSTM to rerank the 1000 hypotheses produced by the aforementioned SMT system, its BLEU score increases to 36.5, which is close to the previous state of the art. The LSTM also learned sensible phrase and sentence representations that are sensitive to word order and are relatively invariant to the active and the passive voice. Finally, we found that reversing the order of the words in all source sentences (but not target sentences) improved the LSTM's performance markedly, because doing so introduced many short term dependencies between the source and the target sentence which made the optimization problem easier.

# **Sequence to Sequence Learning** with Neural Networks

**NIPS 2014** 

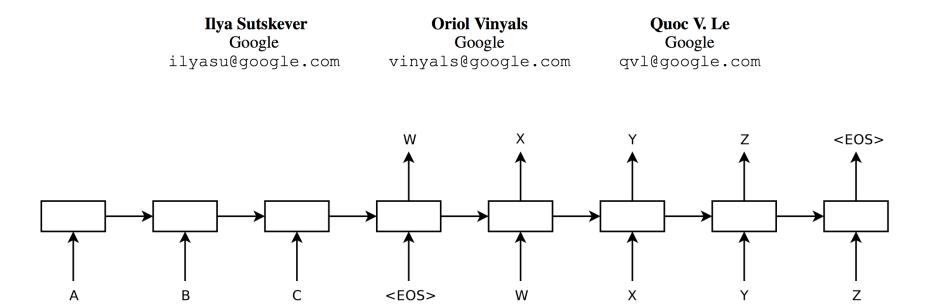


Figure 1: Our model reads an input sentence "ABC" and produces "WXYZ" as the output sentence. The model stops making predictions after outputting the end-of-sentence token. Note that the LSTM reads the input sentence in reverse, because doing so introduces many short term dependencies in the data that make the optimization problem much easier.

## **Unsupervised Sentence Models**

- how should we evaluate sentence models?
- we consider two ways here:
  - sentence similarity:
    - two sentences with similar meanings should have high cosine similarities
    - metric: corr. between similarities & human judgments
  - sentence classification:
    - train a linear classifier using the fixed sentence representation as the input features
    - metric: average accuracy over 6 tasks

### **Evaluation 1: Semantic Textual Similarity (STS)**

Other ways are needed.

4.4

We must find other ways.

I absolutely do believe there was an iceberg in those waters.

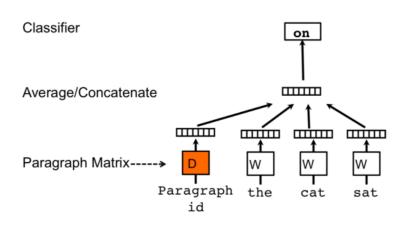
1.2

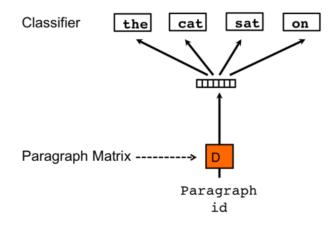
I don't believe there was any iceberg at all anywhere near the Titanic.

Results reported on datasets from 6 domains

## Paragraph Vectors

 Represent sentence (or paragraph) by predicting its own words or context words





Le & Mikolov (2014)

## **Evaluation**

Sentence Embedding Model	STS	Classification
Paragraph Vector	44	70.4

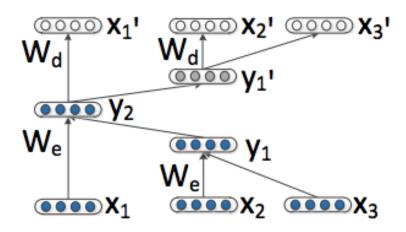
Hill, Cho, Korhonen (2016)

#### Sentence Autoencoders

- encode sentence as vector, then decode it
- minimize reconstruction error (using squared error or cross entropy) of original words in sentence

#### Recursive Neural Net Autoencoders

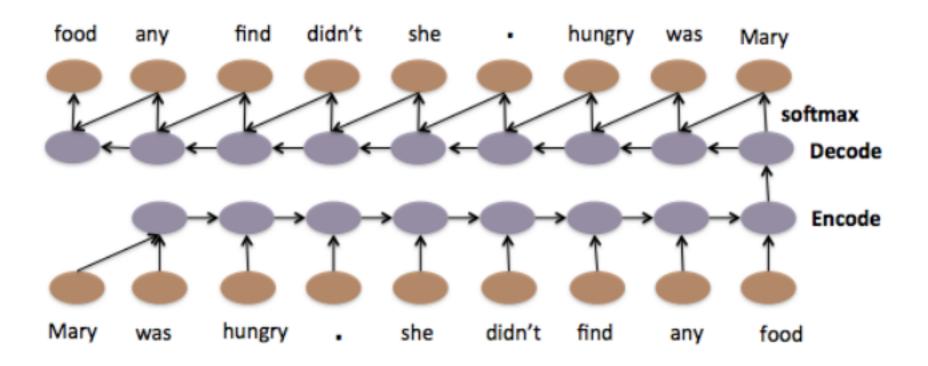
composition based on syntactic parse



Socher, Huang, Pennington, Ng, Manning (2011)

#### LSTM Autoencoders

Encode sentence, decode sentence



Li, Luong, Jurafsky (2015)

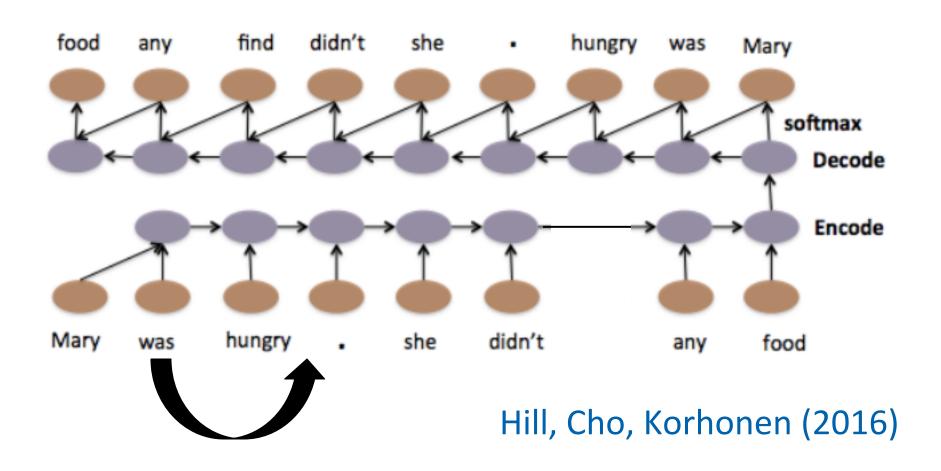
## **Evaluation**

Sentence Embedding Model	STS	Classification
Paragraph Vector	44	70.4
LSTM Autoencoder	43	75.9

Hill, Cho, Korhonen (2016)

## LSTM Denoising Autoencoders

• Encode "corrupted" sentence, decode sentence



## **Evaluation**

Sentence Embedding Model	STS	Classification
Paragraph Vector	44	70.4
LSTM Autoencoder	43	75.9
LSTM Denoising Autoencoder	38	78.9

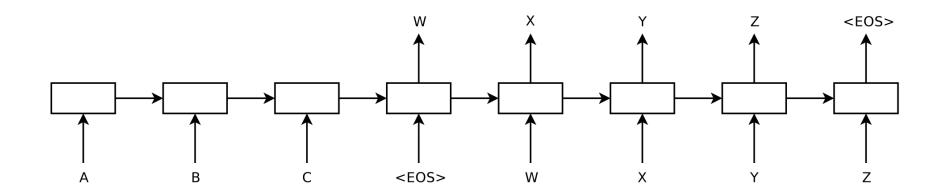
Hill, Cho, Korhonen (2016)

### Other Training Criteria for Sentence Embeddings

- encode sentence, decode other things from it:
  - decode its translation
  - decode neighboring sentences
  - predict words in the sentence and predict words in neighboring sentences

#### **Neural Machine Translation**

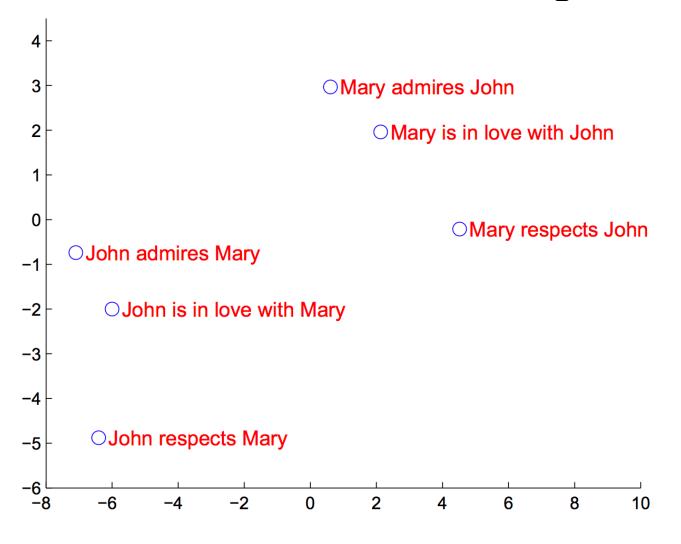
Encode source sentence, decode translation



Sutskever, Vinyals, Le (2014)

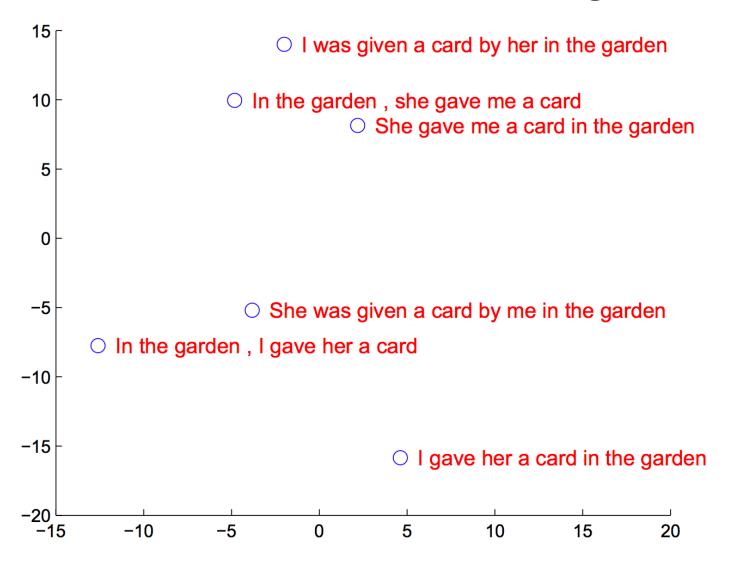
Cho, van Merrienboer, Gulcehre, Bahdanau, Bougares, Schwenk, Bengio (2014)

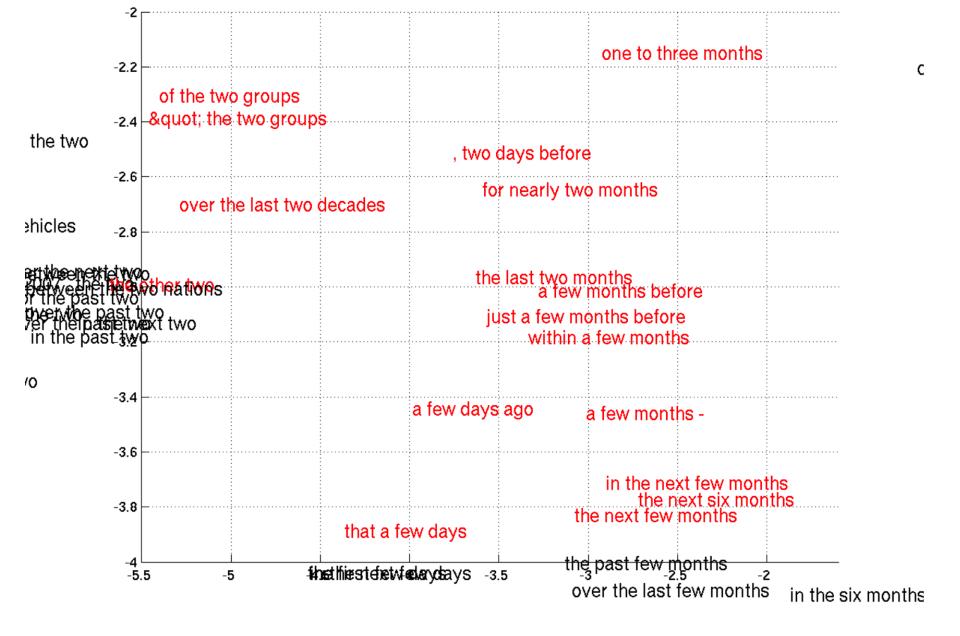
#### Encoder as a Sentence Embedding Model?



Sutskever, Vinyals, Le (2014)

### Encoder as a Sentence Embedding Model?





Cho, van Merrienboer, Gulcehre, Bahdanau, Bougares, Schwenk, Bengio (2014)

## **Evaluation**

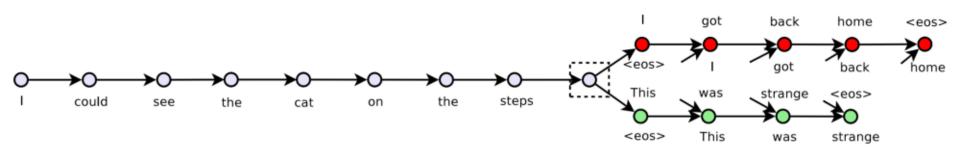
Sentence Embedding Model	STS	Classification
Paragraph Vector	44	70.4
LSTM Autoencoder	43	75.9
LSTM Denoising Autoencoder	38	78.9
Neural MT Encoder	42	76.9

Hill, Cho, Korhonen (2016)

## Skip-Thoughts

- encode sentence using an RNN
- decode two neighboring sentences
- use different RNNs for previous and next sentences
- also pass center sentence vector on each decoding step

...I got back home I could see the cat on the steps This was strange ...



Kiros, Zhu, Salakhutdinov, Zemel, Torralba, Urtasun, Fidler (2015)

## Skip-Thoughts

#### query sentence:

im sure youll have a glamorous evening, she said, giving an exaggerated wink.

#### nearest neighbor:

im really glad you came to the party tonight, he said, turning to her.

Kiros, Zhu, Salakhutdinov, Zemel, Torralba, Urtasun, Fidler (2015)

## Skip-Thoughts

#### query sentence:

if he had a weapon, he could maybe take out their last imp, and then beat up errol and vanessa.

#### nearest neighbor:

if he could ram them from behind, send them sailing over the far side of the levee, he had a chance of stopping them.

Kiros, Zhu, Salakhutdinov, Zemel, Torralba, Urtasun, Fidler (2015)

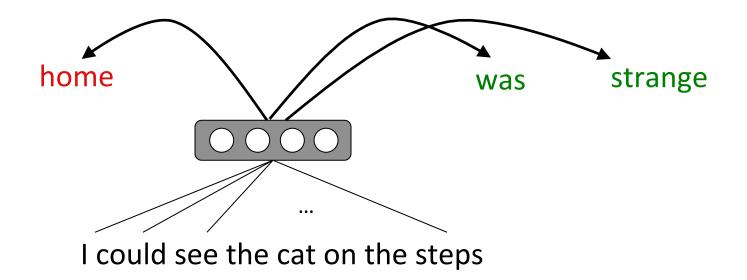
### **Evaluation**

Sentence Embedding Model	STS	Classification
Paragraph Vector	44	70.4
LSTM Autoencoder	43	75.9
LSTM Denoising Autoencoder	38	78.9
Neural MT Encoder	42	76.9
Skip Thought	31	85.3

#### FastSent

- encode center sentence using sum
- decode to predict words in neighboring sentences
- different embedding spaces for "input" and "output" words

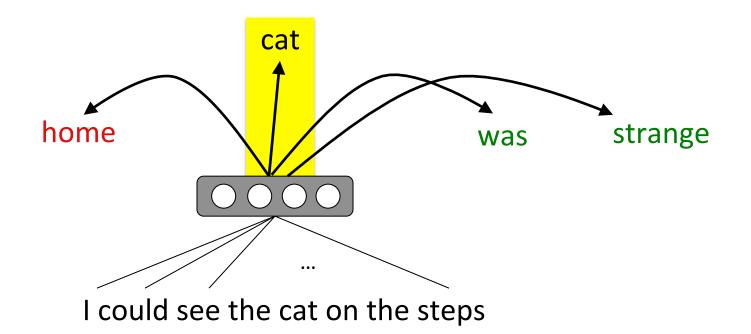
...I got back home I could see the cat on the steps This was strange ...



#### FastSent + Autoencoder

- encode center sentence using sum
- decode to predict words in current+neighboring sentences

...I got back home I could see the cat on the steps This was strange ...



### **Evaluation**

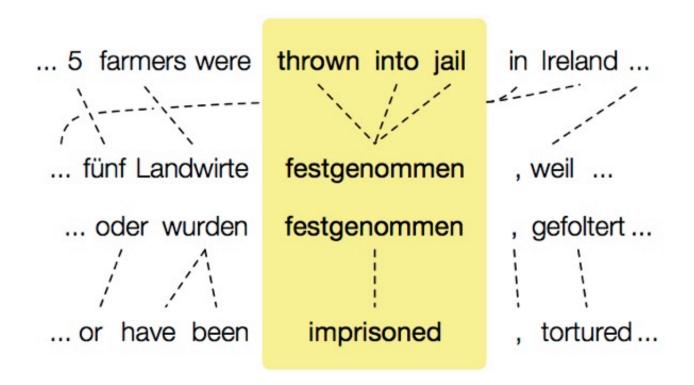
Sentence Embedding Model	STS	Classification
Paragraph Vector	44	70.4
LSTM Autoencoder	43	75.9
LSTM Denoising Autoencoder	38	78.9
Neural MT Encoder	42	76.9
Skip Thought	31	85.3
FastSent	64	79.3
FastSent + Autoencoder	62	79.7

Sentence Embedding Model	STS	Classification
Paragraph Vector	44	70.4
LSTM Autoencoder	43	75.9
LSTM Denoising Autoencoder	38	78.9
Neural MT Encoder	42	76.9
Skip Thought	31	85.3
FastSent	64	79.3
FastSent + Autoencoder	62	79.7
C-PHRASE	67	81.7

Sentence Embedding Model	STS	Classification
Paragraph Vector	44	70.4
LSTM Autoencoder	43	75.9
LSTM Denoising Autoencoder	38	78.9
Neural MT Encoder	42	76.9
Skip Thought	31	85.3
FastSent	64	79.3
FastSent + Autoencoder	62	79.7
C-PHRASE	67	81.7
Avg. pretrained word embeddings	65	80.6

## Paraphrase Database (PPDB)

(Ganitkevitch, Van Durme, and Callison-Burch, 2013)



credit: Chris Callison-Burch

#### Training Data: phrase pairs from PPDB

good

i can hardly hear you .

and the establishment
laying the foundations
making every effort

great

have the possibility of
you 're breaking up .
as well as the development
pave the way
to do its utmost

..

tens of millions more!

Sentence Embedding Model	STS	Classification
Paragraph Vector	44	70.4
LSTM Autoencoder	43	75.9
LSTM Denoising Autoencoder	38	78.9
Neural MT Encoder	42	76.9
Skip Thought	31	85.3
FastSent	64	79.3
FastSent + Autoencoder	62	79.7
C-PHRASE	67	81.7
Avg. pretrained word embeddings	65	80.6
Ours (avg. trained on PPDB)	71	N/A